

FINANCIAL DISCLOSURE STATEMENT
Title Insurance Agent and/or Agency

Name of Agent

Nebraska Agent Number

Name of Agency, Company or Firm

Nebraska Company Number

Address

City

State

Zip Code

List the names and addresses of all persons that have a financial interest in the title insurance agent and/or agency listed above, and who you know, or have reason to believe are producers or associates of producers of title insurance business. See Instructions.

Name

Nature of Financial Interest

Address

City

State

Zip Code

Percent of Interest Held

Date Acquired or Sold

Name

Nature of Financial Interest

Address

City

State

Zip Code

Percent of Interest Held

Date Acquired or Sold

Name

Nature of Financial Interest

Address

City

State

Zip Code

Percent of Interest Held

Date Acquired or Sold

Signature of Agent or Agency's Designated Agent

Date

Submit form to: Nebraska Department of Insurance
Attention: Licensing Division
941 O Street, Suite 400
Lincoln, NE 68508

INSTRUCTIONS

Neb. Rev. Stat. § 44-19,112 provides, “The director shall require each title insurance agent to file, on forms prescribed by the director, reports setting forth the names and addresses of those **persons**, if any, that have a **financial interest** in the title insurance agent and who the title insurance agent knows or has reason to believe are **producers of title insurance business** or associates of producers of title insurance business.”

Person is defined as any natural person, partnership, association, cooperative, corporation, trust, or other legal entity. See Neb. Rev. Stat. § 44-19,108(9).

Producer of title insurance business is defined as any person, including an officer, director, or owner of five percent or more of the equity or capital of any person, engaged in this state in the trade, business, occupation, or profession of:

- a) Buying or selling interests in real property;
- b) Making loans secured by interests in real property; or
- c) Acting as broker, agent, representative, or attorney of a person who buys or sells any interest in real property or who lends or borrows money with the interest as security.

See Neb. Rev. Stat. § 44-19,108(10).

Financial interest is defined as a direct or indirect interest, legal or beneficial, when the holder is or will be entitled to five percent or more of the net profits or net worth of the entity in which the interest is held. See Neb. Rev. Stat. § 44-19,108(8).

1. All title insurance agents and agencies subject to disclosure of financial interests under Neb. Rev. Stat. § 44-19,112 must have a Financial Disclosure Statement on file with the Nebraska Department of Insurance.
2. Annual filing of the Financial Disclosure Statement is NOT required.
3. A new Financial Disclosure Statement must be filed each time there is a change in such financial interest.
4. Failure to maintain a current Financial Disclosure Statement on file with the Department is a violation of the Nebraska Title Insurance Agent Act, and may result in a fine and/or suspension or revocation of the agent and agency insurance licenses.