

JAN 18 2022

BEFORE THE DEPARTMENT OF INSURANCE
STATE OF NEBRASKA

FILED

| | | |
|----------------------------|---|------------------|
| STATE OF NEBRASKA |) | |
| DEPARTMENT OF INSURANCE, |) | CONSENT ORDER |
| |) | |
| PETITIONER, |) | |
| |) | |
| VS. |) | |
| |) | |
| HARISH AGRAWAL |) | CAUSE NO. A-2283 |
| (NAIC Producer #18285330), |) | |
| |) | |
| RESPONDENT. |) | |

In order to resolve this matter, the Nebraska Department of Insurance (“Petitioner”), by and through its attorney, Michael W. Anderson, and Harish Agrawal (“Respondent”) mutually stipulate and agree as follows:

JURISDICTION

1. Petitioner has jurisdiction over the subject matter and Respondent pursuant to Neb. Rev. Stat. §§ 44.101.01, and 44-4047 to 44-4067.
2. Respondent has been licensed as a non-resident insurance producer under the laws of Nebraska at all times material hereto.

STIPULATIONS OF FACT

1. Petitioner initiated this administrative proceeding by filing a Petition captioned State of Nebraska Department of Insurance vs. Harish Agrawal (NAIC National Producer #18285330), Cause Number A-2283 on November 4, 2021. A copy of the Petition was sent to the Respondent at his registered address by certified mail, return receipt requested, and by regular U.S. mail. Respondent acknowledges receiving proper Notice of these proceedings.

2. Respondent is alleged to have violated Neb. Rev. Stat. §§ 44-4059 (1) (g) and 44-1524 (1), as follows:

- a. In July of 2021, a complaint was received concerning an insurance company. It was then determined that the policies at issue were written by the Respondent.
- b. On August 24, 2021, Respondent was sent a letter requesting a detailed explanation of his handling and timeline of events.
- c. A response was due regarding the August 24 letter on September 15, 2021 but was not received.
- d. On September 16, 2021, Respondent was contacted by phone to determine why a response was not received.
- e. On September 17, 2021, Respondent submitted his first response, which included the company's timeline, but did not provide any details of his handling or his own timeline of events.
- f. On September 29, 2021, a second request for information was sent to the Respondent.
- g. A response was due regarding the September 29 letter on October 20, 2021 but was not received.
- h. On October 22, 2021, a certified letter was mailed to Respondent requesting information including a detailed explanation of his handling and timeline of events.
- i. On November 1, 2021, a response was received from Respondent, which did not detail his handling and timeline of events.

j. At the time of filing, no additional information has been received from Respondent.

CONCLUSIONS OF LAW

Respondent's conduct as alleged above constitutes violations of Neb. Rev. Stat. §§ 44-4059 (1) (g) and 44-1524 (1), and Respondent is subject to disciplinary action pursuant to Neb. Rev. Stat. §44-4059.

CONSENT ORDER

It is therefore Ordered by the Director of Insurance and agreed by Respondent that:

1. Respondent agrees to pay an administrative penalty of \$500 within 60 days of the execution of this Consent Order.
2. The Nebraska Department of Insurance shall retain jurisdiction of this matter for the purpose of enabling Respondent or the Department of Insurance to make application for such further orders as may be necessary should Respondent fail to pay the aforementioned penalty or take the aforementioned actions.

In witness of their intention to be bound by this Consent Order, each party has executed this document by subscribing their signatures below.



Michael W. Anderson, #25671
Attorney for Petitioner
1135 M Street, Suite 300
Lincoln NE 68501
(402) 471-2201

1/18/2022

Date



Harish Agrawal
Respondent

1/18/2022

Date

State of Nebraska)
County of Sarcaston) ss.

On this 18th day of January, 2022, Harish Agrawal personally appeared before me and read this Consent Order, executed the same, and acknowledged the same to be his voluntary act and deed.



Shelly G. Storie
Notary Public

CERTIFICATE OF ADOPTION

I hereby certify that the foregoing Consent Order is adopted as the Final Order of the Nebraska Department of Insurance in the matter of State of Nebraska Department of Insurance vs. Harish Agrawal (NAIC National Producer #18285330), Cause No. A-2283.

STATE OF NEBRASKA
DEPARTMENT OF INSURANCE

[Signature]
Eric Dunning
Director of Insurance

1/18/21
Date

CERTIFICATE OF SERVICE

I hereby certify that a copy of the foregoing Consent Order was served upon Respondent by mailing a copy to his business and home addresses at 11740 Autumn Glade Lane, Knoxville, Tennessee 37934, by certified mail, return receipt requested, by regular U.S. mail, on this 18th day of January, 2022.

Shelly Storie