

DEC 08 2017

FILED

BEFORE THE DEPARTMENT OF INSURANCE  
STATE OF NEBRASKA

STATE OF NEBRASKA	)	
DEPARTMENT OF INSURANCE,	)	
	)	CONSENT ORDER
PETITIONER,	)	
	)	
VS.	)	CAUSE NO. A-2092
	)	
KIMBERLY LOPEZ	)	
(NAIC National Producer # 3896576),	)	
	)	
RESPONDENT.	)	
	)	
	)	

In order to resolve this matter, the Nebraska Department of Insurance (“Department”), by and through its attorney, Matthew W. Holman, and Kimberly Lopez (“Respondent”), mutually stipulate and agree as follows:

JURISDICTION

1. The Department has jurisdiction over the subject matter and Respondent pursuant to Neb. Rev. Stat. §§ 44-101.01, and 44-4047 to 44-4067.
2. Respondent was licensed as an insurance producer under the laws of Nebraska at all times material hereto.

STIPULATIONS OF FACT

1. The Department initiated this administrative proceeding by filing a petition styled State of Nebraska Department of Insurance vs. Kimberly Lopez (NAIC National Producer # 3896576), Cause Number A-2092 on October 30, 2017. A copy of the petition was served upon the Respondent at Respondent’s registered business and residence address by certified mail, return receipt requested and by regular U.S. mail.

2. Respondent is alleged to have violated Neb. Rev. Stat. §§ 44-4059(1)(b), 44-4059(1)(h), 44-8106(1) and 44-8106(2) related to her conduct in recommending to a client the purchase of an unsuitable annuity.

3. Respondent was informed of her right to a public hearing. Respondent waives that right and enters into this Consent Order freely and voluntarily. Respondent understands and acknowledges that by waiving her right to a public hearing, Respondent also waives her right to confrontation of witnesses, production of evidence, and judicial review.

4. Respondent neither admits nor denies the allegations stated in Paragraph 2.

#### CONCLUSIONS OF LAW

Respondent's conduct as alleged above constitutes violations of Neb. Rev. Stat. §§ 44 4059(1)(b), 44-4059(1)(h), 44-8106(1) and 44-8106(2).



CERTIFICATE OF ADOPTION

I hereby certify that the foregoing Consent Order is adopted as the Final Order of the Nebraska Department of Insurance in the matter of State of Nebraska Department of Insurance v. Kimberly Lopez (NAIC National Producer # 3896576), Cause No. A-2092.

STATE OF NEBRASKA  
DEPARTMENT OF INSURANCE

Bruce R. Ramage

Bruce Ramage  
Director of Insurance

11-8-2017

Date

CERTIFICATE OF SERVICE

I hereby certify that a copy of the foregoing Consent Order was served upon Respondent by mailing a copy to Respondent's business and residence address, 8539 S 101st Street, La Vista, NE 68128-3198, by certified mail, return receipt requested, on this 8 day of December, 2017.

Brandis J Bauer

*[Faint, illegible handwritten text, possibly bleed-through from the reverse side of the page]*

**RECEIVED**

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**NEBRASKA DEPARTMENT  
OF INSURANCE**