

DEC 14 2016

BEFORE THE DEPARTMENT OF INSURANCE
STATE OF NEBRASKA

FILED

STATE OF NEBRASKA)	
DEPARTMENT OF INSURANCE,)	CONSENT ORDER
)	
PETITIONER,)	
)	
VS.)	CAUSE NO. A-2048
)	
PATRICK JASON MALLORY)	
(NAIC National Producer Number 16170029),)	
)	
RESPONDENT.)	

In order to resolve this matter, the Nebraska Department of Insurance (“Department”), by and through its attorney, Matthew W. Holman, and Patrick Jason Mallory (“Respondent”), mutually stipulate and agree as follows:

JURISDICTION

1. The Department has jurisdiction over the subject matter and Respondent pursuant to Neb. Rev. Stat. §§ 44-101.01, and 44-4047 to 44-4067.
2. Respondent was licensed as an insurance producer under the laws of Nebraska at all times material hereto.

STIPULATIONS OF FACT

1. The Department initiated this administrative proceeding by filing a petition styled State of Nebraska Department of Insurance vs. Patrick Jason Mallory, Cause Number A-2048 on October 28, 2016. A copy of the petition was served upon the Respondent at Respondent’s registered residence address by certified mail, return receipt requested.
2. Respondent is alleged to have violated Neb. Rev. Stat. §§ 44-4054(8), 44-4059(1)(b), 44-4059(1)(h), and 44-1525(11) as a result of the following conduct:

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- a. Prior to June 6, 2016, Respondent worked as an appointed insurance agent for AAA Life Insurance Company in the company's Omaha, Nebraska office.
- b. On or about June 6, 2016, Respondent's appointment with AAA Life Insurance Company was terminated for cause.
- c. On or about October 17, 2016, Jane Francis, Administrator for the Consumer Affairs Division of the Nebraska Department of Insurance, ("Francis") sent an inquiry to Respondent at his registered residential address requesting information about his termination from AAA Life Insurance Company.
- d. On or about October 21, 2016, Francis's inquiry was returned to the Department marked "Return to Sender, Not Deliverable as Addressed, Unable to Forward."
- e. Respondent did not inform the Department of Insurance within thirty days of his termination from AAA Life Insurance Company that his registered business address had changed, and has not informed the Department of Insurance of his updated residence address.
- f. To date, Respondent has not provided a response to the October 17, 2016 inquiry.

3. Respondent was informed of its right to a public hearing. Respondent waives that right and enters into this Consent Order freely and voluntarily. Respondent understands and acknowledges that by waiving its right to a public hearing, Respondent also waives its right to confrontation of witnesses, production of evidence, and judicial review.

4. Respondent admits the allegations stated in Paragraph 2.

CONCLUSIONS OF LAW

Respondent's conduct as alleged above constitutes violations of Neb. Rev. Stat. §§ 44-4054(8), 44-4059(1)(b), 44-4059(1)(h), and 44-1525(11).

CONSENT ORDER

It is therefore ordered by the Director of Insurance and agreed by Respondent, Patrick Jason Mallory, that:

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OF INSURANCE**

1. Respondent shall pay an administrative fine in the amount of one hundred dollars (\$100.00) due within 30 days after the Director of Insurance or his designee approves and signs this consent order.
2. Respondent shall provide updated business and residential addresses to the Nebraska Department of Insurance within 10 business days after the Director of Insurance or his designee approves and signs this consent order.
3. Respondent shall provide a full and complete response to the Consumer Affairs Division inquiries related to this matter within fifteen business days of receipt. Another copy of the initial Consumer Affairs Division inquiry related to this matter will be provided to Respondent along with a copy of this order upon approval by the Director of Insurance.
4. If Respondent fails to comply with paragraphs one through three of this Consent Order within the time specified, Respondent's insurance producer license shall automatically be revoked.

In witness of their intention to be bound by this Consent Order, each party has executed this document by subscribing his signature below.

 <hr/> Matthew W. Holman, #24410 Attorney for Petitioner 941 "O" Street, Suite 400 Lincoln, NE 68508 (402) 471-2201	 <hr/> Patrick Jason Mallory Respondent
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12/13/2016
Date

12-8-16
Date

State of Nebraska)

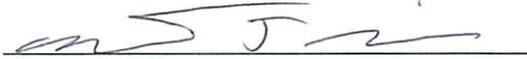
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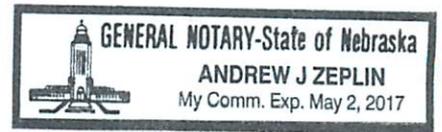
**NEBRASKA DEPARTMENT
OF INSURANCE**

County of Douglas) ss.
)

On this 8th day of Dec, 2016, Patrick Jason Mallory personally appeared before me and read this Consent Order, executed the same, and acknowledged the same to be his voluntary act and deed.


Notary Public

CERTIFICATE OF ADOPTION



I hereby certify that the foregoing Consent Order is adopted as the Final Order of the Nebraska Department of Insurance in the matter of State of Nebraska Department of Insurance v. Patrick Jason Mallory, Cause Number A-2048.

STATE OF NEBRASKA
DEPARTMENT OF INSURANCE

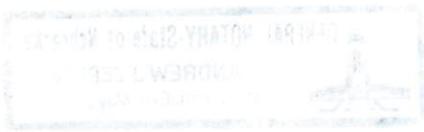

Bruce Range
Director of Insurance

12-14-2016
Date

CERTIFICATE OF SERVICE

I hereby certify that a copy of the foregoing Consent Order was served upon Respondent by mailing a copy to Respondent's registered residence address, 10716 Ellison Plz, Apt 10, Omaha, NE 68134-1165, by certified mail, return receipt requested, and via e-mail to Respondent's provided e-mail address, ptrckmllry@yahoo.com, on this 14 day of December, 2016.





Faint handwritten text, possibly a signature or date.

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OF INSURANCE**

I hereby certify that a copy of the foregoing Consent Order was also served upon Respondent by mailing a copy to Respondent's updated registered residence address, 5325 N 105th Plaza, Apt 9, Omaha, NE 68134, by certified mail, return receipt requested, and via e-mail to Respondent's provided e-mail address,

Patrick.Mallory@PacificLife.com, on this 14 day of December, 2016.

Brandon J. Couser