

DEC 01 2014

FILED

BEFORE THE DEPARTMENT OF INSURANCE
STATE OF NEBRASKA

STATE OF NEBRASKA)	
DEPARTMENT OF INSURANCE,)	
)	CONSENT ORDER
PETITIONER,)	
)	
VS.)	CAUSE NO. A-2008
)	
JOHN R. BALTES,)	
(NAIC National Producer #1751112))	
)	
RESPONDENT.)	

In order to resolve this matter, the Nebraska Department of Insurance (“Department”), by and through its attorney, Robert M. Bell, and John R. Baltes (“Respondent”) mutually stipulate and agree as follows:

JURISDICTION

1. The Department has jurisdiction over the subject matter and Respondent pursuant to Neb. Rev. Stat. § 44-101.01 and the Insurance Producers Licensing Act, Neb. Rev. Stat. §§ 44-4047 to 4067.

2. Respondent was a licensed insurance producer under the laws of Nebraska at all times material hereto.

STIPULATIONS OF FACT

1. The Department initiated this administrative proceeding by filing a Petition and Notice of Hearing captioned *State of Nebraska Department of Insurance vs. John R. Baltes*, Cause No. A-2008, on November 13, 2014.

2. The Department mailed a copy of the Petition and Notice of Hearing to the home address Respondent registered with the Department, 121 East Water St., Troy, OH 45373, by

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certified mail, return receipt requested. Respondent received a copy of the Petition and Amended Notice of Hearing.

3. The Petition alleges that Respondent violated Neb. Rev. Stat. §§ 44-4065(1) and 44-4059(1)(h) as follows:

- a. On or about May 21, 2014, Respondent voluntarily surrendered his North Carolina insurance producer license after a disciplinary action was filed by the North Carolina Department of Insurance related to Respondent's termination for cause.
- b. On or about July 15, 2014, Respondent voluntarily surrendered his Georgia insurance producer license after a disciplinary action was filed by the Commissioner of Insurance of the State of Georgia related to Respondent's failure to disclose his criminal history on the online application for a nonresident license.
- c. On or about August 2, 2014, Respondent voluntarily surrendered his Virginia insurance producer license after a disciplinary action was filed by the State Corporation Commission of the Commonwealth of Virginia related to Respondent's failure to disclose his criminal history on the online application for a nonresident license.
- d. On or about August 26, 2014, Respondent voluntarily surrendered his Ohio insurance producer license after a disciplinary action was filed by the Ohio Department of Insurance related to Respondent's failure to make a required disclosure on his license application, failure to report other state action, and criminal record.

e. To date, the Department has not received any reports from Respondent referencing the administrative actions described in paragraphs (a) through (d) within thirty days of the final disposition of the matter.

4. Respondent was informed of his right to a public hearing. Respondent waives that right and enters into this Consent Order freely and voluntarily. Respondent understands and acknowledges that by waiving his right to a public hearing, Respondent also waives his right to confrontation of witnesses, production of evidence, and judicial review.

5. Respondent admits to the allegations alleged in paragraph 3. Respondent consents to the revocation of his Nebraska insurance producer license, #1751112.

CONCLUSIONS OF LAW

Respondent violated Neb. Rev. Stat. §§ 44-317, 44-4059(1)(b), and 44-4059(1)(h) and is subject to disciplinary action pursuant to Neb. Rev. Stat. § 44-4059.

CONSENT ORDER

It is therefore ordered by the Director of Insurance and agreed by the Respondent, John R. Baltes, that Respondent's Nebraska insurance producer license #1751112 shall be revoked. In witness of their intention to be bound by this Consent Order, the parties have executed this document by subscribing their signatures below.



Robert M. Bell, #22390
Attorney for Petitioner
941 O Street, Suite 400
Lincoln, NE 68508
402-471-2201

12/1/14
Date



John R. Baltes
Respondent

11-22-14
Date

STATE OF OHIO)
) ss.
COUNTY OF MIAMI)

On this 22 day of November, 2014, John R. Baltes personally appeared before me and read this Consent Order, executed the same, and acknowledged the same to be his voluntary act and deed.



Notary Public



ERICA M. BOYER
Notary Public, State of Ohio
My Commission Expires
May 18, 2018

CERTIFICATE OF ADOPTION

I hereby certify that the foregoing Consent Order is adopted as the Final Order of the Nebraska Department of Insurance in the matter of the State of Nebraska Department of Insurance v. John R. Baltes, NAIC National Producer #1751112, Cause No. A-2008.

STATE OF NEBRASKA
DEPARTMENT OF INSURANCE

Bruce R. Ramge
BRUCE R. RAMGE
Director of Insurance

12-1-2014
Date

CERTIFICATE OF SERVICE

I hereby certify that fully executed copies of this Consent Order were served upon Respondent as follows:

Via Regular and Certified Mail
John R. Baltes
121 East Water St.
Troy, OH 45373

On this 1 day of December, 2014.

Brandis J. Course