

# Nebraska Department of Insurance

941 O St  
Lincoln, NE 68508  
(402) 471-2201



## INFORMATION REQUIRED FOR THE CHANGE IN OWNERSHIP OF A PRE-NEED SELLER

Pursuant to the Nebraska Burial Pre-Need Sale Act, see NEB. REV. STAT. §§ 12-1101 to 1121, the change in ownership of a licensed Pre-Need Seller necessitates the completion of this form and may require the pre-need seller to submit a new application for licensure in the manner consistent with the provisions of NEB. REV. STAT. § 12-1108.

### SECTION 1

Please check one of the applicable options and fill out the corresponding section(s) of this form.

1) A licensed Pre-Need Seller acquires another licensed Pre-Need Seller and chooses to incorporate the acquired entity as a branch location operating under the license of the acquiring entity.

If this box is checked, please complete this form in its entirety.

2) A licensed Pre-Need Seller is acquired by either a licensed or an unlicensed entity, and is going to remain a separately licensed entity.

If this box is checked, please complete this form in its entirety and submit a new Pre-Need Seller application.\*

\*The Pre-Need Seller application can be found at the following link: [http://www.doi.ne.gov/exam/Pre-Need\\_Seller\\_Application.pdf](http://www.doi.ne.gov/exam/Pre-Need_Seller_Application.pdf)

**PLEASE NOTE:** Any change in officers, directors, members, trustees, or ownership percentage, even if not involving a purchase or acquisition, requires the completion of the Company Personnel Change Form.

### SECTION 2

Please attach the following items to this form:

1) If a change in ownership of a licensed Pre-Need Seller has occurred, please provide a copy of the purchase agreement, and/or other documentation pertaining to the acquisition of said entity, which sufficiently details the conditions under which the change in ownership occurred.

2) Remit the Pre-Need Seller license of the acquired entity, along with all active Pre-Need Agent licenses tied to that Pre-Need Seller.

3) Submit a complete listing of all pre-need trust contracts outstanding on the date of acquisition. The listing should be signed by the previous owner and a public notary, and should include the following information for each contract:

- |  |   |
|--|---|
| 1. The name of the pre-need contract purchaser | 4. The total cost of the contract on the date of purchase   |
| 2. The contract ID number                      | 5. The current value of the contract  |
| 3. The date of the contract                    | 6. Clearly identify new, performed and cancelled contracts from the beginning of the year through the date of change in ownership |

Please address each item listed below on the related worksheets provided with this form

### SECTION 3, ITEM 1

Please provide a detailed description of the events necessitating the completion of this form.




SECTION 3, ITEM 4

Provide a listing of all employees who were licensed as pre-need agents for the acquired entity prior to the merger or acquisition.

Name	Address	Pre-Need Agent License #
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