

JUN 07 2021

BEFORE THE DEPARTMENT OF INSURANCE
STATE OF NEBRASKA

FILED

STATE OF NEBRASKA)	
DEPARTMENT OF INSURANCE,)	CONSENT ORDER
)	
PETITIONER,)	
)	
VS.)	
)	
BRENT STANLEY)	CAUSE NO. A-2261
(NAIC Producer #8296568),)	
)	
RESPONDENT.)	

In order to resolve this matter, the Nebraska Department of Insurance (“Petitioner”), by and through its attorney, Michael W. Anderson, and Brent Stanley (“Respondent”), mutually stipulate and agree as follows:

JURISDICTION

1. Petitioner has jurisdiction over the subject matter and Respondent pursuant to Neb. Rev. Stat. §§ 44.101.01, and 44-4047 to 44-4067.
2. Respondent has been licensed as a resident insurance producer under the laws of Nebraska at all times material hereto.

STIPULATIONS OF FACT

1. Petitioner initiated this administrative proceeding by filing a Petition captioned State of Nebraska Department of Insurance vs. Brent Stanley (NAIC National Producer #8296568), Cause Number A-2261 on May 20, 2021. A copy of the Petition was sent to the Respondent at his registered address by certified mail, return receipt requested, and by regular U.S. mail. Respondent acknowledges receiving proper Notice of these proceedings.

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NEBRASKA DEPARTMENT OF INSURANCE

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BEFORE THE DEPARTMENT OF INSURANCE
STATE OF NEBRASKA

FILED

CONSENT ORDER)	STATE OF NEBRASKA DEPARTMENT OF INSURANCE
)	PETITIONER
)	BRETT STANLEY (NAIC Producer #8290588)
CAUSE NO. A-2261)	RESPONDENT

In order to resolve this matter, the Nebraska Department of Insurance ("Petitioner"), by and through its attorney, Michael W. Anderson, and Brett Stanley ("Respondent"), mutually stipulate and agree as follows:

JURISDICTION

1. Petitioner has jurisdiction over the subject matter and Respondent pursuant to Neb. Rev. Stat. §§ 44-101.01 and 44-101.02 to 44-101.07.
2. Respondent has been licensed as a resident insurance producer under the laws of Nebraska.

STIPULATIONS OF FACT

1. Petitioner initiated this administrative proceeding by filing a Petition captioned State of Nebraska Department of Insurance vs. Brett Stanley (NAIC National Producer #8290588), Cause Number A-2261 on May 20, 2021. A copy of the Petition was sent to the Respondent at his registered address by certified mail, return receipt requested, and by regular U.S. mail. Respondent acknowledges receiving proper notice of these proceedings.

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2. Respondent is alleged to have violated Neb. Rev. Stat. §§ 44-4059(1) (g), and 44-4059(1)

(h), as follows:

- a. On March 17, 2021, Prudential notified Petitioner's Office that Respondent had been terminated for cause, citing an allegation of fraud.
- b. Prudential submitted documentation regarding a Non-Qualified Managed Brokerage Account owned by Respondent's father, who passed away on December 24, 2020. Among this documentation was a Transfer on Death ("TOD") form, submitted by Respondent to Prudential on January 6, 2021.
- c. This TOD form contained a signature purported to be that of Respondent's father and purported to have been signed on March 2, 2020. Prudential interviewed Respondent about this signature and, while he originally claimed it to have been signed at a family outing on March 2, 2020, he eventually admitted that he had signed his father's name to the TOD form on January 6, 2021.
- d. Respondent was asked for a response to the allegations, and a response was received by email on April 5, 2021. In that statement, Respondent claimed that he was "acting in [his] capacity as executor of his father's estate" in signing the TOD form and that there was a "miscommunication" between himself and the back office as to exactly how to fill out the form.

3. Respondent admits the allegations in Paragraph 2

4. Respondent was informed of his right to a public hearing. Respondent waives that right and enters into this Consent Order freely and voluntarily. Respondent understands and acknowledges that by waiving his right to a public hearing, Respondent also waives his right to confrontation of witnesses, production of evidence, and judicial review.

CONCLUSIONS OF LAW

Respondent's conduct as alleged above constitutes violations of Neb. Rev. Stat. §§ 44-4059(1) (g), and 44-4059(1) (h), and Respondent is subject to disciplinary action pursuant to Neb. Rev. Stat. §44-4059.

CONSENT ORDER

It is therefore Ordered by the Director of Insurance and agreed by Respondent that:

1. Respondent agrees to pay an administrative penalty of \$100.
2. The Nebraska Department of Insurance shall retain jurisdiction of this matter for the purpose of enabling Respondent or the Department of Insurance to make application for such further orders as may be necessary should Respondent fail to pay the aforementioned penalty or take the aforementioned actions.

In witness of their intention to be bound by this Consent Order, each party has executed this document by subscribing their signatures below.



Michael W. Anderson, #25671
Attorney for Petitioner
1135 M Street, Suite 300
Lincoln NE 68501
(402) 471-2201

6/7/21

Date



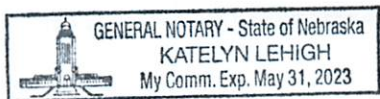
Brent Stanley
Respondent

6/3/21

Date

State of Nebraska)
) ss.
County of Douglas)

On this 3rd day of June, 2021, Brent Stanley personally appeared before me and read this Consent Order, executed the same, and acknowledged the same to be his voluntary act and deed.



Katelyn Lehigh
Notary Public

CERTIFICATE OF ADOPTION

I hereby certify that the foregoing Consent Order is adopted as the Final Order of the Nebraska Department of Insurance in the matter of State of Nebraska Department of Insurance vs. Brent Stanley (NAIC National Producer #8296568), Cause No. A-2261.

STATE OF NEBRASKA
DEPARTMENT OF INSURANCE

[Signature]
Eric Dunning
Director of Insurance

6/7/21
Date

CERTIFICATE OF SERVICE

I hereby certify that a copy of the foregoing Consent Order was served upon Respondent by mailing a copy to his home address at 18710 N HWS Cleveland Boulevard, Elkhorn, Nebraska, 68022, by certified mail, return receipt requested, by regular U.S. mail, and via email to bstanley0419@gmail.com, on this 7th day of June, 2021.

Shelly Striel

AFFIDAVIT OF DOMICILE

STATE OF NEBRASKA)
) ss.
COUNTY OF DOUGLAS)

The undersigned, upon being first duly sworn, does hereby depose and state:

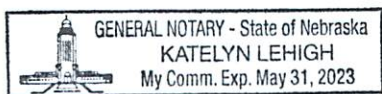
- 1. The undersigned resides at 18710 N. HWS Cleveland Blvd., Elkhorn, Douglas County, Nebraska.
- 2. The undersigned is the Personal Representative of the Estate of Mark L. Stanley.
- 3. Mark L. Stanley ("Decedent") died at Omaha, Douglas County, Nebraska, on December 24, 2020.
- 4. At the time of his death, Decedent's domicile (legal residence) was 3112 N. 187th Plaza, Apt. 101, Omaha, Douglas County, Nebraska.
- 5. Decedent's most recent federal income tax return showed Decedent's legal residence as Omaha, Douglas County, Nebraska.
- 6. In the three years preceding his death, Decedent was also resident of Missouri.
- 7. Any and all debts, taxes and claims against the Estate of Mark L. Stanley have been paid or provided for.
- 8. This affidavit is made for the purpose of securing the transfer or delivery of property owned by Decedent at the time of his death to the person or persons legally entitled thereto under the laws of Decedent's domicile.

FURTHER AFFIANT SAYETH NOT.

Brent A. Stanley
Brent A. Stanley, Personal Representative of the Estate of Mark L. Stanley

On this 3rd day of June, 2021, before me, a Notary Public, appeared Brent A. Stanley to be personally known to be the person whose name is subscribed to the foregoing instrument and who acknowledged that he executed said instrument as his voluntary act for the purposes therein expressed.

IN WITNESS WHEREOF, I have hereunto set my hand and notarial seal the day and year last above given.



Katelyn Lehigh
Notary Public