



Pete Ricketts, Governor

## Cover Sheet

January 1, 2017  
CB-38 (Amended)

### BULLETIN

**SUBJECT: SCHEDULE OF FEES**

This guidance document is advisory in nature but is binding on an agency until amended by such agency. A guidance document does not include internal procedural documents that only affect the internal operations of the agency and does not impose additional requirements or penalties on regulated parties or include confidential information or rules and regulations made in accordance with the Administrative Procedure Act. If you believe that this guidance document imposes additional requirements or penalties on regulated parties, you may request a review of the document.

Contents of CB-38 (Amended) follow on next page.

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### **SUBJECT: SCHEDULE OF FEES**

Effective immediately, the Department's revised Schedule of Fees is noted below. All companies and licensees must remit on a retaliatory fee basis. All fees must accompany the request for services except for agent appointments which are billed to the company.

All correspondence should be directed to the Nebraska Department of Insurance, 941 O Street, Suite 400, PO Box 82089, Lincoln, Nebraska 68508. Checks are made payable to Department of Insurance.


<u>Description</u>	<u>Amount Due</u>
Preadmission Review of Documents of a Foreign Insurer, Organization, Fraternal Benefit Society, and Licensing of a Domestic Insurer (not an Assessment Association) – §44-114(11)	\$1,000.00
Admission fee for Domestic, Foreign or Alien insurers, Statistical Agents, Fraternal Benefit Society, or Advisory Organizations – §44-114(1)	300.00
Renewal of Certificate of Authority – Domestic and Foreign Insurers, including Advisory Organizations and Statistical Organizations – §44-114(4)	100.00
Renewal of Certificate of Authority – Domestic Assessment Associations doing business in less than 31 counties – §44-114(4)	20.00
Renewal of Certificate of Authority – Fraternal Benefit Society – §44-1098	50.00
Amended Certificate of Authority – §44-114(5)	100.00
Filing of Annual Statements – §44-114(7)	200.00
Filing Certified Copies of Articles of Merger of Licensed Company – §44-114(6)	50.00
Filing each Amendment to Articles of Incorporation §44-114(2)	20.00
Filing Restated Articles of Incorporation – §44-114(3)	20.00

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<u>Description</u>	<u>Amount Due</u>
<b>Holding Company Fees – §44-2131</b>	
Form A Filing	\$1,000.00
Form B (initial filing)	1,000.00
Annual Renewal fee	200.00
Application of Domestic Mutual Insurer to Convert to a Stock Insurer – §44-6105	1,000.00
Organization and Admission fees for Risk Management Pool (intergovernmental, certificate of authority – §44-4307)	1,000.00
<b>Third Party Administrator</b>	
Admission fee/Certificate of Authority – §44-5812(2)	200.00
Annual Renewal fee – §44-5814(3)	200.00
<b>Multiple Employer Welfare Arrangement</b>	
Application/Initial Licensing fee – §44-7605	1,000.00
Annual Renewal fee – §44-7613	200.00
<b>Captive Insurer</b>	
Application/Initial Licensing fee – §44-8205	500.00
Annual Renewal fee – §44-8207	500.00
Unincorporated Mutual Association Report – §44-114(9)	None
Reinsurance Intermediary Licensee – §44-5603(6)(a), (6)(b)(ii)	250.00
<b>Utilization Review</b>	
Certificate of Authority – §44-5420(1)	300.00
Annual Renewal of Certificate of Authority – §44-5424(1)	100.00
<b>Viatical Settlement Provider</b>	
Initial Application/License - §44-1103(2)	1,000.00
Annual Statement Filing fee - §44-114(7)	200.00
Annual Renewal fee – §44-1103(3)	100.00
<b>Viatical Settlement Broker (Individual and Entity)</b>	
Initial License – §44-1103(2)	40.00
Annual Renewal fee – §44-1103(3)	40.00
<b>Insurance Producer</b>	
License (Resident or Non-Resident) – §44-4064(1)(a)	50.00
Late Renewal fee – §44-4064(1)(d)	40.00
Reinstatement fee – §44-4064(1)(e)	40.00
Business Entity fee – §44-4064(1)(f)	50.00

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<b><u>Description</u></b>	<b><u>Amount Due</u></b>
<b>Surplus Lines</b>	
2-Year License, Individual – §44-5504(2)	\$250.00
Late Renewal fee – §44-5504(3)	100.00
Annual License, Corporate – §44-5504(2)	250.00
<b>Resident Consultant</b>	
License (individual) – §44-2621	50.00
Corporate, Partnership, or LLC License – §44-2621	50.00
<b>Non-Resident Consultant</b>	
License (individual) – §44-2621	50.00
Corporate, Partnership, or LLC License – §44-2621	50.00
<b>Continuing Education</b>	
Course Approval – §44-3905(1)(a)	50.00
Sponsor Approval – §44-3905(b)	None
Sponsor Penalty – §44-3905(b)	None
Annual Appointment of Licensed Agent – §44-4064(1)(b)	8.00
Termination of Appointment – §44-4064(1)(c)	3.00
<b>Prelicensing</b>	
Course Approval – §44-3913	50.00
Course Amendment – §44-3913	None
<b>Pre-Need</b>	
Seller License – §12-1108	100.00
Agent License – §12-1115	20.00
Annual Report fee – §12-1110	50.00
Certificate of Deposit, Compliance, Valuation, and other Certification – §44-114(8)	5.00 ea.
Copies of Official Records or Documents – §44-114(10)	.50/page



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Bruce R. Ramge  
Director