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# BEFORE THE DEPARTMENT OF INSURANCE STATE OF NEBRASKA

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STATE OF NEBRASKA DEPARTMENT OF INSURANCE,	) FINDINGS OF FACT, CONCLUSIONS OF LAW, RECOMMENDED ORDER
PETITIONER,	) AND ORDER
VS.	) CAUSE NO. A-2489
ALEXIS ROGERS, (NAIC Producer #21408787)	) ) )
RESPONDENT.	) ) )

This matter came up for hearing on June 17, 2025, before Megan VanAusdall, a hearing officer duly appointed by the Director of the Nebraska Department of Insurance. The Nebraska Department of Insurance ("Department") was represented by its counsel, Michael Anderson. Alexis Rogers ("Respondent") was not present and was not represented by counsel. The proceedings were recorded by Shelly Storie, a licensed Notary Public. The Department presented evidence at the hearing and the matter was taken under advisement. The hearing officer makes the following Findings of Fact, Conclusions of Law, and Recommended Order:

#### FINDINGS OF FACT

- 1. The Department is the agency of the State of Nebraska charged with licensing Insurance Producers.
- 2. Respondent currently holds a resident insurance producer's license in the State of Nebraska. Respondent's registered address with the Nebraska Department of Insurance is 2527 SW SOUKUP DR, LINCOLN, Nebraska 68522. Respondent's registered email address with the Department is sophiarose41919@gmail.com. (See Ex. 1, Attachment 1).

- 3. On or about On December 9, 2024, Respondent applied for and was granted a resident producer's license for the Life insurance line of authority. In Respondent's license application, she answered questions #1a and #1b, regarding prior misdemeanor and felony convictions, in the negative. (See Ex. 1, Attachment 2)
- 4. On or about January 7, 2025, the Petitioner's Office, through employee and licensing administrator Kevin Schlautman ("Schlautman") performed a check of the Justice website, discovering that Respondent failed to disclose numerous misdemeanor convictions on her license application. (See Ex. 1, Attachment 3)
- 5. On or about January 7, 2025, Schlautman sent a letter to Respondent via certified mail, addressed to Respondent's registered address on file, requesting court documents and an explanation of her failure to report those charges. This letter was returned to Petitioner's Office, marked "unclaimed." (See Ex. 1, Attachment 4)
- 6. On or about January 7, 2025, Schlautman sent an email to the registered email address for the Respondent, which included a copy of the non-disclosure letter. (See Ex. 1, Attachment 5)
- 7. Having received no response, on or about March 7, 2025, Schlautman sent a follow-up email to the Respondent, at her registered email address on file. (See Ex. 1, Attachment 6)
- 8. As of April 15, 2025, Respondent has not provided a response to Schlautman, as a representative of the Petitioner's Office. (See Ex. 1)
- 9. On or about April 14, 2025, the Petition and Notice of Hearing were served upon Respondent by Shelly Storie, ("Storie"), an employee of the Department, by mailing the same to Respondent's registered mailing and residential addresses with the Department at 2527 SW SOUKUP DR, LINCOLN, Nebraska 68522, by certified mail, return receipt requested, and by

regular mail. A copy was also sent as a digital attachment, to email messages sent to Respondent's registered email address, at <a href="mailto:sophiarose41919@gmail.com">sophiarose41919@gmail.com</a>. (See Exhibit 2)

10. On or about April 23, 2025, the Petitioner's Office, through Storie, received the letter sent by certified mail, return receipt requested, which was returned by the United States Postal Service ("USPS") marked "RETURN TO SENDER, UNCLAIMED, UNABLE TO FORWARD." As of June 17, 2025, the letter sent by regular mail has not been returned to the Department. (See Exhibit 2, Attachment 1)

#### CONCLUSIONS OF LAW

- 1. The Department has broad jurisdiction, control, and discretion over the licensing of insurance producers in the State of Nebraska pursuant to Neb. Rev. Stat. §§ 44-101.01 and 44-4047 et seq.
  - 2. The Department has personal jurisdiction over Respondent.
- 3. Pursuant to Neb. Rev. Stat. § 44-4059(1)(a), the director may suspend or revoke an insurance producer's license or may levy an administrative fine for providing incorrect, misleading, incomplete, or materially untrue information in the license application.
- 4. Respondent violated Neb. Rev. Stat. §§ 44-4059(1)(a) as a result of the conduct set forth in the Findings of Fact.

#### DISCUSSION

At the hearing, the Department presented sufficient evidence of proper service of notice of these proceedings upon Respondent. The Department served Respondent via certified mail, return receipt requested and regular U.S. mail to the Respondent's registered address. The Department also provided a Domestic Return Receipt for the notice filings, which, along with the tracking information also provided, confirm delivery to the Respondent. Based upon the evidence of record, the

Department's service of the petition and notice of hearing upon Respondent at its mailing address was sufficient and jurisdiction over the actions of the Respondent in this matter has been established.

The uncontested evidence shows that Respondent knowingly provided false answers to the insurance licensing Application, and this falsehood was made deliberately, flagrantly and in conscious disregard of Nebraska law. Respondent's actions demonstrate a level of dishonest and untrustworthy conduct incompatible with the high ethical and moral standards required of an insurance producer.

Based on the serious nature of the evidence presented, revocation of Respondent's resident insurance producer license is appropriate in this case.

#### RECOMMENDED ORDER

Based on the Findings of Fact and Conclusions of Law, it is recommended that Respondent's resident insurance producer's license be revoked. The Nebraska Department of Insurance shall retain jurisdiction of this matter for the purpose of enabling Respondent or the Department of Insurance to make application for such orders as may be necessary.

Dated this \_\_\_\_\_ day of July 2025.

STATE OF NEBRASKA

DEPARTMENT OF INSURANCE

Megan VanAusdal Hearing Officer

## **CERTIFICATE OF ADOPTION**

I have reviewed the foregoing Findings of Fact, Conclusions of Law, and Recommended Order and hereby certify that the Recommended Order is adopted as the official and final Order of this Department in the matter of State of Nebraska, Department of Insurance vs. Alexis Rogers (NAIC Producer #21408787), Cause No. A-2489.

Dated this \_\_\_\_\_ day of July 2025.

STATE OF NEBRASKA DEPARTMENT OF INSURANCE

Eric Dunning

Director of Insurance

### **CERTIFICATE OF SERVICE**

I hereby certify that a copy of the foregoing Findings of Fact, Conclusions of Law, Recommended Order, and Order was served upon the Respondent by mailing a copy to Respondent's registered address with the Department: 2527 SW SOUKUP DR, LINCOLN, Nebraska 68522, via certified mail, return receipt requested and via regular U.S. mail on this day of July 2025.

Shuly Storie